

Controls

Manage your controls to ensure your organisation has measures in place to meet your obligations.

Functionality

- ✓ Ability to define compliance test plans as stand-alone components or link to corporate obligations (internal or external obligations).
- ✓ Ability to define ownership of compliance assurance tests.
- ✓ Ability to define test frequency and manage assurance tests effectively.
- ✓ Ability to define types of tests (Design Effectiveness vs Operating Effectiveness)
- ✓ Ability to define the sample selection criteria so that testers are following consistent and verifiable approach.
- ✓ Ability to define due dates and configure reminders and alerts for plans that are overdue, and about to be due.
- ✓ Ability to automatically capture previous test/assessment dates from actual completion date.
- ✓ Ability to document all controls in a centralised repository enabling great visibility and allowing collaboration between various teams, business units, etc.
- ✓ Ability to conduct assessment of one control or multiple controls in one compliance test plan.
- ✓ Ability to define control test forms that are tailored to capture test results while providing easy reference look-up to the original control entry.
- ✓ Ability to configure granular access controls to control testers so that they can update control test results while having read-only access to controls
- ✓ Ability to perform more than one control tests against a control and record all details separately providing a history log of all tests.
- ✓ Ability to document standard control implementation, test procedure, desired sample size and expected test outcomes in each control so that control tests are standardised and repeatable.
- ✓ Ability to set due dates at individual control test levels and ability to configure notifications and alerts for upcoming or overdue tests.
- ✓ Ability to document test results and attach evidences as required.
- ✓ Ability to raise any issues directly from control tests if required and assign ownership to track centrally.
- ✓ Ability to configure custom screens displaying Control Tests and associated forms in one screen.
- ✓ Ability to roll-up control test results for each control and present the summary in a centralised dashboard.

Compliance Test Plans

Manage your control assurance programs and align to various compliance frameworks.

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Security Roles

CMA Manager	Full access (Create, Read, Update and Delete) to all Compliance Management objects such as Compliance Test Plan, Control, Control Test Assessment and Issues.
CMA Owner	Create, Read and Update access to Compliance Test Plan, Control Test Assessment, and Issues assigned to the owner. View and Edit access to controls that are linked to Control Test Plan. Full access to the issues that they are owner of.
Administrator	Administration access to all objects and ability to make configuration changes

